

ADMINISTRATIVE ORDER/CONSENT DECREE
CLOSE-OUT FORM

SITE NAME: R.J. Refinery

SSID #: 4T

DOCKET NUMBER: 01CV 005 D

DOCUMENT TYPE: AOC ☒ CD UAO OTHER
IF OTHER, PLEASE DESCRIBE:

ACTIVITY: ☒ REMOVAL RI/FS RD/RA CASH OUT OTHER
IF OTHER, PLEASE DESCRIBE:

EFFECTIVE DATE: April 4, 2001

CERTIFICATION OF COMPLETION DATE:

PLEASE ATTACH ALL COPIES OF ALL CLOSE-OUT RELATED DOCUMENTS:

 FINAL OSC REPORT FINAL BILLING DOCUMENTS
 CERTIFICATION LETTER BY PRP OF WORK COMPLETED
 OTHER DOCUMENTS
☒ THE ABOVE DOCUMENTS ARE LOCATED IN THE SUPERFUND
RECORDS CENTER AND THE FINANCE OFFICE RECORDS.

FINAL DEMAND/BILLING DATE: 4/5/2001 AMOUNT: \$5,000⁰⁰

RECOVERED FOR:
 OVERSIGHT ☒ PAST COSTS FUTURE COSTS

DATE OF PAYMENT: 5/23/2001 AMOUNT: 5,000

IF THE AMOUNT PAID DIFFERS FROM THE AMOUNT BILLED, PLEASE
EXPLAIN: Tep confirms \$ received; Finance concurrence confirms.

Maurice O. Bailey
Enforcement Signature
Date: Feb 13, 2008

[Signature]
Legal Enforcement Program
Date: 2/19/08

Matthew P. Hlasko 2/27/08
Finance Signature
Date:

[Signature]
RPM/OSC Signature
Date: 2-20-08

OFFICIAL CLOSE-OUT DATE

DIST. CLERK
CLERK

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF WYOMING

2001 APR -4 PM 4:23

CLERK
U.S. DISTRICT COURT

UNITED STATES OF AMERICA,

Plaintiff,

v.

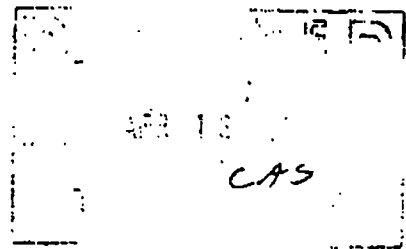
RELAND MARK JOHNSON

Defendant.

Civil Action No.

01CV 0051

00V0134



CONSENT DECREE

I. BACKGROUND

A. The United States of America ("United States"), on behalf of the Administrator of the United States Environmental Protection Agency ("EPA"), filed a complaint in this matter pursuant to Section 107 of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, 42 U.S.C. § 9607, as amended ("CERCLA"), seeking reimbursement of response costs incurred and to be incurred for response actions taken at or in connection with the release or threatened release of hazardous substances at the R.J. Refinery Site in La Barge, Lincoln County, Wyoming.

B. Settling Defendant does not admit any liability to Plaintiff arising out of the transactions or occurrences alleged in the complaint.

C. Settling Defendant has asserted that he does not have the ability to pay the total amount of response costs Plaintiff has incurred at this Site and Plaintiff has reviewed financial



U.S. Department of Justice

SDMS Document ID



1021985

United States Attorney
District of Wyoming

REPLY TO: Cheyenne

Post Office Box 668
Cheyenne, WY 82003-0668
307-772-2124
FAX/307-772-2907

111 S. Wolcott Room 300
Casper, WY 82601
307-261-5434
FAX/307-261-5471

933 West Main
Lander, WY 82520
307-332-8195
FAX/307-332-7104

April 13, 2001

DAVID L. DAIN
Trial Attorney
Environmental Enforcement Section
U.S. Department of Justice
P.O. Box 7611
Ben Franklin Station
Washington, DC 20005

Re: *United States v. Johnson*
USDC-WY-01CV005-D; USAtty-WY-2000V00134


Dear Mr. Dain:

Enclosed for your information is the file stamped copy of the Consent Decree dated April 4, 2001 in the above-referenced matter.

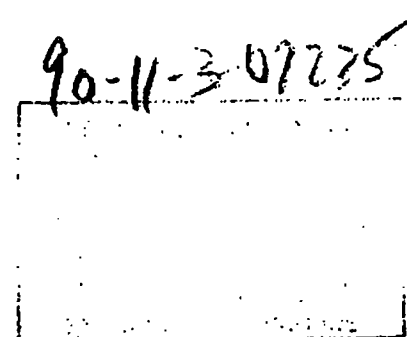
Sincerely,

DAVID D. FREUDENTHAL
United States Attorney

By:


CAROL A. STATKUS
Assistant United States Attorney

CAS:bd
Enclosure



information provided to it by Settling Defendant, and based upon this information and upon the representations made by Settling Defendant, Plaintiff has determined that Settling Defendant is unable to pay the full amount of costs incurred without suffering extreme financial hardship. Accordingly, to minimize further litigation between the Parties, Plaintiff and Settling Defendant, agree to enter into this limited financial ability to pay settlement.

D. The United States and Settling Defendant agree, and this Court by entering this Consent Decree finds, that this Consent Decree has been negotiated by the Parties in good faith, that settlement of this matter will avoid prolonged and complicated litigation between the Parties, and that this Consent Decree is fair, reasonable, and in the public interest.

THEREFORE, with the consent of the Parties to this Decree, it is ORDERED, ADJUDGED, AND DECREED:

II. JURISDICTION

1. This Court has jurisdiction over the subject matter of this action pursuant to 28 U.S.C. §§ 1331 and 1345 and 42 U.S.C. §§ 9607 and 9613(b) and also has personal jurisdiction over Settling Defendant. Settling Defendant consents to and shall not challenge entry of this Consent Decree or this Court's jurisdiction to enter and enforce this Consent Decree.

III. PARTIES BOUND

2. This Consent Decree is binding upon the United States and upon Settling Defendant and his heirs, successors and assigns. Any change in ownership or corporate or other legal status, including but not limited to, any transfer of assets or real or personal property, shall in no way alter the status or responsibilities of Settling Defendant under this Consent Decree.

IV. DEFINITIONS

3. Unless otherwise expressly provided herein, terms used in this Consent Decree which are defined in CERCLA or in regulations promulgated under CERCLA shall have the meaning assigned to them in CERCLA or in such regulations. Whenever terms listed below are used in this Consent Decree or in any appendix attached hereto, the following definitions shall apply:

- a. "CERCLA" shall mean the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, 42 U.S.C. § 9601, et seq.
- b. "Consent Decree" shall mean this Consent Decree and all appendices attached hereto. In the event of conflict between this Consent Decree and any appendix, the Consent Decree shall control.
- c. "Day" shall mean a calendar day. In computing any period of time under this Consent Decree, where the last day would fall on a Saturday, Sunday, or federal holiday, the period shall run until the close of business of the next working day.
- d. "DOJ" shall mean the United States Department of Justice and any successor departments, agencies or instrumentalities of the United States.
- e. "EPA" shall mean the United States Environmental Protection Agency and any successor departments, agencies or instrumentalities of the United States.
- f. "EPA Hazardous Substance Superfund" shall mean the Hazardous Substance Superfund established by the Internal Revenue Code, 26 U.S.C. § 9507.
- g. "Interest" shall mean interest at the current rate specified for interest on investments of the Hazardous Substance Superfund established by 26 U.S.C. § 9507, compounded annually on October 1 of each year, in accordance with 42 U.S.C. § 9607(a).

h. "Paragraph" shall mean a portion of this Consent Decree identified by an arabic numeral or an upper or lower case letter.

i. "Parties" shall mean the United States and the Settling Defendant.

j. "Past Response Costs" shall mean all costs, including but not limited to direct and indirect costs, that EPA or DOJ on behalf of EPA has paid at or in connection with the Site through October 1, 2000, plus accrued Interest on all such costs through such date.

k. "Plaintiff" shall mean the United States.

l. "Section" shall mean a portion of this Consent Decree identified by a roman numeral.

m. "Settling Defendant" shall mean Reland Mark Johnson.

n. "Site" shall mean the R.J. Refinery Superfund site, encompassing approximately twenty (20) acres, located at or near 723 Calpet Road in La Barge, Lincoln County, Wyoming, and depicted more clearly on the map included in Appendix A.

o. "United States" shall mean the United States of America, including its departments, agencies and instrumentalities.

V. REIMBURSEMENT OF RESPONSE COSTS

4. Payment of Past Response Costs to the EPA Hazardous Substance Superfund.

Settling Defendant shall pay to the EPA Hazardous Substance Superfund \$5,000.00 in reimbursement of Past Response Costs. Payment shall be made by certified check or cashier's check made payable to "U.S. Department of Justice," referencing the name and address of the party making payment, the EPA Region and Site Spill ID Number 08-4T, USAO File Number 2000Z00271, and DOJ Case Number 90-11-3-07235. Settling Defendant shall send the check

to: Financial Litigation Unit
Office of the U.S. Attorney
District of Wyoming
P.O. Box 668
Cheyenne, WY 82003

Settling Defendant shall send notice that such payment has been made to EPA and DOJ in accordance with Section XI (Notices and Submissions) and to Kelcey Land, Cost Recovery Program Manager, U.S. Environmental Protection Agency, 999 18th Street, Suite 300, ENF-T, Denver, CO 80202. This payment is due no later than thirty days following the entry of this decree.

5. Plaintiff's agreement to Settling Defendant's payments required by Paragraph 4 of this Consent Decree is based on Settling Defendant's ability to pay Response Costs and Interest. Plaintiff reserves the right to take action under this Consent Decree or, if it deems it appropriate, to institute a new and separate action to recover additional payment of Response Costs and Interest for the claims alleged in the complaint if Plaintiff determines that Settling Defendant's financial information or representations made in the documents provided by Settling Defendant to Plaintiff are false or, in any material respect, inaccurate. This right shall be in addition to all other rights and causes of action, civil or criminal, the United States may have under law or equity in such event.

VI. FAILURE TO COMPLY WITH REQUIREMENTS OF CONSENT DECREE

6. Interest on Late Payments. In the event that any payment required by Section V (Reimbursement of Response Costs) or Section VI, Paragraph 7 (Stipulated Penalty), are not received when due, Interest shall continue to accrue on the unpaid balance through the date of payment.

7. Stipulated Penalty.

a. If any amounts due to EPA under this Consent Decree are not paid by the required date, Settling Defendants shall pay to EPA as a stipulated penalty, in addition to the Interest required by Paragraph 5, \$500.00 per violation per month that such payment is late.

b. Stipulated penalties are due and payable within 30 days of the date of the demand for payment of the penalties by EPA. All payments to EPA under this Paragraph shall be made by certified or cashier's check made payable to "EPA Hazardous Substance Superfund" and shall be sent to:

Mellon Bank
EPA Region VIII
Attn: Superfund Accounting
Post Office Box 360859
Pittsburgh, Pennsylvania 15251-6859

All payments shall indicate that the payment is for stipulated penalties and shall reference the name and address of the party making payment, the EPA Region and Site Spill ID Number 08-4T, USAO File Number 2000Z00271, and DOJ Case Number 90-11-3-07235. Copies of check[s] paid pursuant to this Paragraph, and any accompanying transmittal letter[s], shall be sent to EPA and DOJ as provided in Section XI (Notices and Submissions) and to Kelcey Land, Cost Recovery Program Manager, U.S. Environmental Protection Agency, 999 18th Street, Suite 300, ENF-T, Denver, CO 80202.

c. Penalties shall accrue as provided in this Paragraph regardless of whether EPA has notified Settling Defendant of the violation or made a demand for payment, but need only be paid upon demand. All penalties shall begin to accrue on the day after complete performance is due or the day a violation occurs, and shall continue to accrue through the final day of correction

of the noncompliance or completion of the activity. Nothing herein shall prevent the simultaneous accrual of separate penalties for separate violations of this Consent Decree.

8. If the United States brings an action to enforce this Consent Decree, Settling Defendant shall reimburse the United States for all costs of such action, including but not limited to costs of attorney time.

9. Payments made under Paragraphs 6-7 shall be in addition to any other remedies or sanctions available to Plaintiff by virtue of Settling Defendant's failure to comply with the requirements of this Consent Decree.

10. Notwithstanding any other provision of this Section, the United States may, in its unreviewable discretion, waive payment of any portion of the stipulated penalties that have accrued pursuant to this Consent Decree.

VII. COVENANT NOT TO SUE BY PLAINTIFF

11. Covenant Not to Sue by United States. Except as specifically provided in Paragraph 12 (Reservation of Rights by United States), the United States covenants not to sue Settling Defendant pursuant to Section 107(a) of CERCLA, 42 U.S.C. § 9607(a), to recover Past Response Costs. This covenant not to sue shall take effect upon receipt by EPA of all payments required by Section V, Paragraph 4 (Payment of Past Response Costs to the United States) and Section VI, Paragraph 6 (Interest on Late Payments) and Paragraph 7 (Stipulated Penalty for Late Payment). This covenant not to sue is conditioned upon the satisfactory performance by Settling Defendant of his obligations under this Consent Decree. This covenant not to sue extends only to Settling Defendant and does not extend to any other person.

12. Reservation of Rights by United States. The covenant not to sue set forth in

Paragraph 11 does not pertain to any matters other than those expressly specified therein. The United States reserves, and this Consent Decree is without prejudice to, all rights against Settling Defendant with respect to all other matters, including but not limited to:

a. liability for failure of Settling Defendant to meet a requirement of this Consent Decree;

b. liability for damages for injury to, destruction of, or loss of natural resources, and for the costs of any natural resource damage assessments;

c. criminal liability;

d. liability for injunctive relief or administrative order enforcement under Section 106 of CERCLA, 42 U.S.C. § 6906; and

e. liability for costs incurred or to be incurred by the United States that are not within the definition of Past Response Costs.

VIII. COVENANT NOT TO SUE BY SETTLING DEFENDANT

13. Settling Defendant covenants not to sue and agrees not to assert any claims or causes of action against the United States or its contractors or employees, with respect to Past Response Costs or this Consent Decree, including but not limited to:

a. any direct or indirect claim for reimbursement from the Hazardous Substance Superfund-based on Sections 106(b)(2), 107, 111, 112, or 113 of CERCLA, 42 U.S.C. §§ 9606(b)(2), 9607, 9611, 9612, or 9613, or any other provision of law;

b. any claim arising out of response actions at the Site for which the Past Response Costs were incurred; and

c. any claim against the United States pursuant to Sections 107 and 113 of

CERCLA, 42 U.S.C. §§ 9607 and 9613, relating to Past Response Costs.

14. Nothing in this Consent Decree shall be deemed to constitute approval or preauthorization of a claim within the meaning of Section 111 of CERCLA, 42 U.S.C. § 9611, or 40 C.F.R. 300.700(d).

IX. EFFECT OF SETTLEMENT/CONTRIBUTION PROTECTION

15. Nothing in this Consent Decree shall be construed to create any rights in, or grant any cause of action to, any person not a Party to this Consent Decree. Each of the Parties expressly reserves any and all rights (including, but not limited to, any right to contribution), defenses, claims, demands, and causes of action which each Party may have with respect to any matter, transaction, or occurrence relating in any way to the Site against any person not a Party hereto.

16. The Parties agree, and by entering this Consent Decree this Court finds, that Settling Defendant is entitled, as of the effective date of this Consent Decree, to protection from contribution actions or claims as provided by Section 113(f)(2) of CERCLA, 42 U.S.C. § 9613(f)(2), for "matters addressed" in this Consent Decree. The "matters addressed" in this Consent Decree are Past Response Costs.

17. Settling Defendant agrees that, with respect to any suit or claim for contribution brought by him for matters related to this Consent Decree, he will notify EPA and DOJ in writing no later than 60 days prior to the initiation of such suit or claim. Settling Defendant also agrees that, with respect to any suit or claim for contribution brought against him for matters related to this Consent Decree, he will notify EPA and DOJ in writing within 10 days of service of the complaint or claim upon it. In addition, Settling Defendant shall notify EPA and DOJ

within 10 days of service or receipt of any Motion for Summary Judgment, and within 10 days of receipt of any order from a court setting a case for trial, for matters related to this Consent Decree.

18. In any subsequent administrative or judicial proceeding initiated by the United States for injunctive relief, recovery of response costs, or other relief relating to the Site, Settling Defendant shall not assert, and may not maintain, any defense or claim based upon the principles of waiver, res judicata, collateral estoppel, issue preclusion, claim-splitting, or other defenses based upon any contention that the claims raised by the United States in the subsequent proceeding were or should have been brought in the instant case; provided, however, that nothing in this Paragraph affects the enforceability of the Covenant Not to Sue by Plaintiff set forth in Section VII.

X. RETENTION OF RECORDS

19. Until 10 years after the entry of this Consent Decree, Settling Defendant shall preserve and retain all records and documents now in his possession or control, or which come into its possession or control, that relate in any manner to response actions taken at the Site or the liability of any person for response actions conducted and to be conducted at the Site.

20. After the conclusion of the document retention period in the preceding paragraph, Settling Defendant shall notify EPA and DOJ at least 90 days prior to the destruction of any such records or documents, and, upon request by EPA or DOJ Settling Defendant shall deliver any such records or documents to EPA. Settling Defendant may assert that certain documents, records, or other information are privileged under the attorney-client privilege or any other privilege recognized by federal law. If Settling Defendant asserts such a privilege, he shall

provide Plaintiff with the following: 1) the title of the document, record, or information; 2) the date of the document, record, or information; 3) the name and title of the author of the document, record, or information; 4) the name and title of each addressee and recipient; 5) a description of the subject of the document, record, or information; and 6) the privilege asserted. However, no documents, reports, or other information created or generated pursuant to the requirements of this or any other consent decree with the United States shall be withheld on the grounds that they are privileged. If a claim of privilege applies only to a portion of a document, the document shall be provided to Plaintiff in redacted form to mask the privileged information only. Settling Defendant shall retain all records and documents that he claims to be privileged until the United States has had a reasonable opportunity to dispute the privilege claim and any such dispute has been resolved in the Settling Defendant's favor.

21. By signing this Consent Decree, Settling Defendant certifies individually that, to the best of its knowledge and belief, he has:

a. conducted a thorough, comprehensive, good faith search for documents, and has fully and accurately disclosed to EPA, all information currently in its possession, or in the possession of its officers, directors, employees, contractors or agents, which relates in any way to the ownership, operation or control of the Site, or to the ownership, possession, generation, treatment, transportation, storage or disposal of a hazardous substance, pollutant or contaminant at or in connection with the Site;

b. not altered, mutilated, discarded, destroyed or otherwise disposed of any records, documents or other information relating to its potential liability regarding the Site, after notification of potential liability or the filing of a suit against the Settling Defendant regarding

the Site; and

c. fully complied with any and all EPA requests for information regarding the Site pursuant to Sections 104(e) and 122(e) of CERCLA, 42 U.S.C. §§ 9604(e) and 9622(e).

XI. NOTICES AND SUBMISSIONS

22. Whenever, under the terms of this Consent Decree, notice is required to be given or a document is required to be sent by one party to another, it shall be directed to the individuals at the addresses specified below, unless those individuals or their successors give notice of a change to the other Parties in writing. Written notice as specified herein shall constitute complete satisfaction of any written notice requirement of the Consent Decree with respect to the United States, EPA, DOJ, and Settling Defendant, respectively.

As to the United States:

As to DOJ:

Chief, Environmental Enforcement Section
Environment and Natural Resources Division
U.S. Department of Justice (DJ # 90-11-3-07235)
P.O. Box 7611
Washington, D.C. 20044-7611

As to EPA:

Maureen O'Reilly, Enforcement Specialist
U.S. Environmental Protection Agency (8ENF-T)
999 18th Street, Suite 300
Denver, CO 80202-2405

Wendy I. Silver, Enforcement Attorney
U.S. Environmental Protection Agency (8ENF-L)
999 18th Street, Suite 300
Denver, CO 80202-2405

As to Settling Defendant:

Reland Mark Johnson
295 Chipeta Way
Mail Stop 2B2
Salt Lake City, Utah 84108

XII. RETENTION OF JURISDICTION

23. This Court shall retain jurisdiction over this matter for the purpose of interpreting and enforcing the terms of this Consent Decree.

XIII. INTEGRATION

24. This Consent Decree and its appendix constitute the final, complete and exclusive agreement and understanding among the Parties with respect to the settlement embodied in this Consent Decree. The Parties acknowledge that there are no representations, agreements or understandings relating to the settlement other than those expressly contained in this Consent Decree.

XIV. LODGING AND OPPORTUNITY FOR PUBLIC COMMENT

25. This Consent Decree shall be lodged with the Court for a period of not less than thirty (30) days for public notice and comment. The United States reserves the right to withdraw or withhold its consent if the comments regarding the Consent Decree disclose facts or considerations which indicate that this Consent Decree is inappropriate, improper, or inadequate. Settling Defendant consents to the entry of this Consent Decree without further notice.

26. If for any reason this Court should decline to approve this Consent Decree in the form presented, this agreement is voidable at the sole discretion of any party and the terms of the agreement may not be used as evidence in any litigation between the Parties.

XV. EFFECTIVE DATE

27. The effective date of this Consent Decree shall be the date upon which it is entered by the Court.

28. Settling Defendant hereby agrees not to oppose entry of this Consent Decree by this Court or to challenge any provision of this Consent Decree, unless the United States has notified Settling Defendant in writing that it no longer supports entry of the Consent Decree.

29. Settling Defendant hereby agrees to accept service in that manner and to waive the formal service requirements set forth in Rule 4 of the Federal Rules of Civil Procedure and any applicable local rules of this Court, including but not limited to, service of a summons.

SO ORDERED THIS 4th DAY OF April, 2001.


United States District Judge

THE UNDERSIGNED PARTIES enter into this Consent Decree in the matter of United States v. Reland Mark Johnson (D. WY), relating to the R.J. Refinery Superfund Site.

FOR THE UNITED STATES OF AMERICA



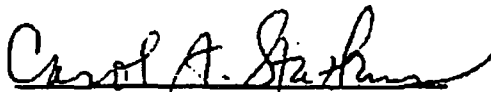
WALKER SMITH
Deputy Section Chief
Environmental Enforcement Section
Environment and Natural Resources
Division
U.S. Department of Justice
Washington, D.C. 20530



DAVID L. DAIN
Attorney
Environmental Enforcement Section
U.S. Department of Justice
P.O. Box 7611
Washington, DC 20044-7611
(202) 514-3644

DAVID D. FREUDENTHAL
United States Attorney

By:



CAROL A. STATKUS
Assistant United States Attorney

Sharon L. Kercher

Sharon L. Kercher, Director
Technical Enforcement Program
Office of Enforcement, Compliance and
Environmental Justice
U.S. EPA, Region VIII

Michael T. Risner

Michael T. Risner, Director
Legal Enforcement Program
Office of Enforcement, Compliance and
Environmental Justice
U.S. EPA, Region VIII

Wendy I. Silver

Wendy I. Silver
Enforcement Attorney
Office of Enforcement, Compliance and
Environmental Justice
U.S. EPA, Region VIII
999 18th Street, Suite 300
Denver, CO 80202-2466

FOR DEFENDANT RELAND MARK JOHNSON

Date: 12-6-00

Rebel Smith Johnson